



Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2007

Applicant claims small entity status. See 37 CFR 1.27

## TOTAL AMOUNT OF PAYMENT

(\$ 250.00)

## Complete if Known

Application Number	10/735,926-Conf. #4251
Filing Date	December 16, 2003
First Named Inventor	Masatoshi SHIRAI
Examiner Name	D. Chacko Davis
Art Unit	1756
Attorney Docket No.	OMY-0034

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 18-0013 Deposit Account Name: Rader, Fishman & Grauer PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

## 2. EXCESS CLAIM FEES

## Fee Description

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
----------	-----------------------

50 25

Each independent claim over 3 (including Reissues)

200 100

Multiple dependent claims

360 180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims
--------------	--------------	----------	---------------	---------------------------

30 - 25 = 5 x 50.00 = 250.00

Fee (\$)	Fee Paid (\$)
----------	---------------

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
---------------	--------------	----------	---------------

8 - 8 = 0 x 200.00 = 0.00

Fee (\$)	Fee Paid (\$)
----------	---------------

HP = highest number of independent claims paid for, if greater than 3.

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
--------------	--------------	--	----------	---------------

- 100 = /50 = (round up to a whole number) x =

Fees Paid (\$)
----------------

## 4. OTHER FEE(S)

Non-English Specification: \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): \_\_\_\_\_

## SUBMITTED BY

Signature	<i>Carl Schaukowitch</i>	Registration No. (Attorney/Agent)	29,211	Telephone	(202) 955-3750
Name (Print/Type)	Carl Schaukowitch	Date	July 18, 2007		



## AMENDMENT TRANSMITTAL LETTER

Docket No.  
OMY-0034

Application No. 10/735,926-Conf. #4251	Filing Date December 16, 2003	Examiner D. Chacko Davis	Art Unit 1756
---	----------------------------------	-----------------------------	------------------

Applicant(s): Masatoshi SHIRAISHI et al.

Invention: SUBSTRATE PROCESSING METHOD AND SUBSTRATE PROCESSING APPARATUS

### TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

#### CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
<b>Total Claims</b>	30	- 25 =	5	x 50.00	250.00
<b>Independent Claims</b>	8	- 8 =	0	x 200.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					250.00

Large Entity

Small Entity

No additional fee is required for this amendment.

Please charge Deposit Account No. 18-0013 in the amount of \$ 250.00.  
A duplicate copy of this sheet is enclosed.

A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge and credit Deposit Account No. 18-0013 as described below. A duplicate copy of this sheet is enclosed.

Credit any overpayment.

Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

*Carl Schaukowitch*  
Carl Schaukowitch

Attorney/Agent Reg. No.: 29,211

Dated: July 18, 2007

RADER, FISHMAN & GRAUER PLLC  
1233 20th Street, N.W.  
Suite 501  
Washington, DC 20036  
(202) 955-3750



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:

**Masatoshi SHIRAISSI et al.**

Application No.: 10/735,926

Filed: December 16, 2003

Attorney Docket No.: OMY-0034

Examiner: D. Chacko Davis

Art Unit: 1756

Confirmation No.: 4251

For: **SUBSTRATE PROCESSING METHOD AND SUBSTRATE PROCESSING APPARATUS**

**AMENDMENT UNDER 37 C.F.R. §1.111**

**Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

**I. INTRODUCTORY COMMENTS**

In response to the Office Action dated April 19, 2007, please amend the above-identified application as set forth below.

Claims 1-30 are pending in the application. By this Amendment, claims 22, 23 and 25 are amended, claims 26-30 are added and claims 1-21 are withdrawn from further consideration.

**II. AMENDMENTS TO THE DRAWINGS**

(There are no amendments to the drawings)

**III. AMENDMENTS TO THE SPECIFICATION**

(There are no amendments to the specification)

07/19/2007 DEMMANU1 00000059 180013 10735926  
01 FC:1202 250.00 DA